

EXECUTIVE VICE CHANCELLOR AND PROVOST  
VICE CHANCELLOR FOR RESEARCH  
ASSISTANT VICE CHANCELLOR FOR RESEARCH

Re: Redelegation of Authority – Expenditure Against Firm Commitments Under Contracts and Grants for Research, Training and Public Service

I hereby redelegate the authority delegated to Chancellors in Presidential Delegation of Authority DA 0666, April 14, 1980, to permit expenditures or commitments of funds against any approved research, training, or development contract or grant when a fully executed contract is in hand or a written notice of grant award has been received or, in the absence of such documentation, when (a) the contract or grant is within the solicitation authority previously delegated to you and you have satisfied yourself that a firm commitment exists to reimburse the University for the amount of its own funds advanced, and (b) there is an essential need to advance or commit funds (which normally means to pay salaries or meet other expenses of a continuing project).

This redelegation is subject to the following:


1. The guidance for interpreting what constitutes a “firm commitment” contained in Presidential Delegation of Authority DA 0666, April 14, 1980.

**Effective Date:** November 1, 2006

**Supersedes:** This redelegation supersedes all previous redelegations of DA 0666.

**Reference:** University of California Contract and Grant Manual

**Redelegations:** This authority may not be redelegated.



Chancellor

**Attachment:** Presidential Delegation of Authority DA 0666

**cc:** Senior Vice President, Business and Finance  
Secretary of the Regents  
General Counsel and Vice President for Legal Affairs  
Special Assistant, Coordination & Review  
Vice Chancellor Bolar  
Vice Chancellor Diaz

## DA 0666

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April 14, 1980

**CHANCELLORS  
VICE PRESIDENT--AGRICULTURE AND UNIVERSITY SERVICES**

**Re: Delegation of Authority--Expenditures Against Firm Commitments Under Contracts and Grants**

Section 100.4(m) of the Standing Orders of The Regents delegates to the President the authority to permit expenditures against contracts and grants or against firm commitments thereon, provided that the contracts and grants have been solicited or negotiated in accordance with established Regental policy.

I am hereby delegating to you the authority to permit expenditures or commitments of funds against any approved research, training, or development contract or grant when a fully executed contract is in hand or a written notice of grant award has been received or, in the absence of such documentation, when (a) the contract or grant is within the solicitation authority previously delegated to you and you have satisfied yourself that a firm commitment exists to reimburse the University for the amount of its own funds advanced, and (b) there is an essential need to advance or commit funds (which normally means to pay salaries or meet other expenses of a continuing project).

For guidance in interpreting what constitutes a "firm commitment," General Counsel has provided the following:

While firm commitment has no legal definition, it is obviously something less than a contract. The phrase is applicable to situations where University personnel are advised by representatives of Government agencies that a contract or grant will be forthcoming, and the only delay is a ministerial one of document processing. An agency representative making such a representation must be one who can be relied on for such representation.

This delegation is effective immediately and supersedes the September 6, 1966 delegation on the same subject and President Hitch's related letter of December 3, 1969. Redelegation of this authority shall be in writing with copies provided to the Vice President--Financial and Business Management, the Assistant President--Coordination and Review, and the Secretary of The Regents.

David S. Saxon  
President

cc: Members, President's Administrative Council  
Principal Officers of The Regents