

EXECUTIVE VICE CHANCELLOR AND PROVOST
VICE CHANCELLOR FOR RESEARCH
ASSISTANT VICE CHANCELLOR FOR RESEARCH

Re: Redelegation of Authority – Solicitation and Acceptance or Execution of Extramural Grants and Contracts for Construction of Facilities

I hereby redelegate the authority delegated to Chancellors in Presidential Delegation of Authority DA 0693, April 7, 1981 to solicit and accept or execute extramural contracts and grants for projects involving construction of research facilities originating in academic or research units, including the signing of related documents as necessary.

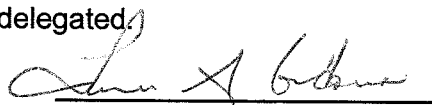
This re delegation is subject to the following:

1. The authority re delegated to these positions under Presidential Delegation of Authority DA 2036 shall also apply to this re delegation.
2. The conditions and limitations contained in Presidential Delegations of Authority DA 2036 and DA 0693.

Effective Date: November 1, 2006

Reference: In carrying out this authority, you are to be guided by the provisions of Standing Order 100.4 (dd), General Counsel's memo of March 27, 1974 and the University of California Contract and Grant Manual.

Redelegations: This authority may not be re delegated.


Chancellor

Attachments: 1) Presidential Delegation of Authority DA 2036
2) Presidential Delegations of Authority DA 0693

cc: Senior Vice President, Business and Finance
Secretary of the Regents
General Counsel and Vice President for Legal Affairs
Special Assistant, Coordination & Review
Vice Chancellor Bolar
Vice Chancellor Diaz

DA 2036

March 10, 1995

CHANCELLORS

Delegation of Authority--To Solicit and Accept or Execute Certain Extramural Grants and Contracts

The authority granted to the President in Section 100.4(dd) (1) and (2) of the Standing Orders of The Regents was amended on July 16, 1993 to increase the President's execution authority with respect to contracts or grants by removing the seven-year time limit and the dollar limit. The authority granted to the President in Standing Order 100.4(dd) is delegated to you to the extent described below, as it pertains to extramural grants and contracts for research, scholarly or professional training, or for public service programs relating either to research or to scholarly or professional training not exceeding \$5,000,000 in direct costs in any one project year. For purposes of this delegation, the term grant includes grants from private sources, but excludes gifts as defined in the Guidelines for Review of Gifts/Grants for Research issued by the President on July 8, 1980.

Within the scope of this delegation, you are authorized to solicit and accept or execute such grants and contracts, including the signing of related documents as necessary, except those grants, contracts, or related documents which:

- a. contain provisions falling within the restrictions and limitations set forth in Standing Order 100.4 (dd) however, notwithstanding Standing Order 100.4(dd)(9), you may execute State of California Standard Agreements which include an indemnity clause under which the University assumes liability for the conduct of persons other than University personnel;
- b. include an arrangement for indirect costs which changes the rates or the bases thereof as promulgated by the President;
- c. will result in the acquisition of computer hardware, software, or systems engineering support requiring prior approval in accordance with Business and Finance Bulletin IS- 8, Guidelines for Campus and Office of the President Acquisitions Involving Computing;
- d. establish or modify programs within the Education Abroad Program; or
- e. require approval by the President or designee pursuant to specific policy memoranda issued from time to time.

Campus procedures for preparation of proposals for extramural support and acceptance of grants or execution of contracts shall be in accordance with the University of California Contract and Grant Manual and with supplementary instructions as may be issued by the Office of the President.

A critical factor in processing contracts and grants is the review for legal sufficiency. Responsibility for accomplishing that review must be assigned specifically as a part of any redelegation by you. Attached is a copy of a pertinent Office of General Counsel memorandum dated March 27, 1974 which provides guidance on this particular point. Whenever there is any question as to whether a particular grant or

contract requires legal review, General Counsel's advice shall be obtained.

This delegation is effective immediately and supersedes the March 29, 1988 delegation of authority (DA 0957) to Chancellors delegating authority to solicit, accept, or execute certain extramural grants and contracts. This authority may be redelegated to specific designees, but may not be further redelegated. Any redelegation shall be in writing with copies to the Senior Vice President--Business and Finance, the Special Assistant--Coordination & Review, the General Counsel and Vice President for Legal Affairs, and the Secretary of The Regents.

J. W. Peltason

Attachment

cc: Laboratory Directors
Members, President's Cabinet
Associate Vice President--Business and Finance
Special Assistant--Coordination & Review
Principal Officers of The Regents

DA 0693

April 7, 1981

**CHANCELLORS
VICE PRESIDENT KENDRICK**

Delegation of Authority--Solicitation and Acceptance or Execution of Extramural Grants and Contracts for Construction of Facilities

Standing Order 100.4(dd) of The Regents authorizes the President to solicit and accept or execute extramural grants and contracts when they do not contain provisions requiring authorization by The Regents, as specified in Standing Order 100.4(dd).

Authority to solicit and accept or execute certain extramural grants and contracts for research, scholarly or professional instruction, or related public service programs was delegated to Chancellors on June 29, 1978 and to Vice President Kendrick on August 7, 1975, subject to limitations specified by the delegations.

Effective immediately, within your areas of responsibility, you are also authorized to solicit and accept or execute extramural grants and contracts for construction of facilities, including the signing of related documents as necessary, except those grants, contracts, or related documents which:

- a. contain provisions which fall within the restrictions and limitations set forth in Standing Order 100.4(dd); and/or
- b. would result in amendments to the Capital Improvement Program beyond the authority delegated to you on May 29, 1979.

The preparation of proposals for extramural support for the construction of facilities, the acceptance or execution of construction grants or contracts, and the reporting of these actions shall be in accordance with the Grants Procedures published in the Facilities Manual.

When the construction of facilities is part of a grant or contract for research, scholarly or professional instruction, or related public service programs, your authority is subject also to the terms of the June 29, 1978 delegation (for Chancellors) and the August 7, 1975 delegation (for Vice President Kendrick) concerning extramural grants and contracts.

This delegation supersedes the October 12, 1966 delegation to Chancellors concerning solicitation and acceptance of grants for the construction of facilities as well as the following related correspondence:

- Vice President Morgan to Chancellors and University Deans Peterson and Sheats, October 12, 1966;
- Vice President McCorkle to Chancellors and Vice Presidents Kendrick and Long, February 12, 1974.

Any redelegation of this authority shall be in writing with copies to the Vice President of the University, the Vice President--Financial and Business Management, the Assistant President--Coordination and Review, and the General Counsel and the Secretary of The Regents.

David S. Saxon
President

cc: Laboratory Directors
Members, President's Administrative Council
Principal Officers of The Regents