EXECUTIVE VICE CHANCELLOR AND PROVOST
VICE CHANCELLOR FOR RESEARCH
ASSISTANT VICE CHANCELLOR FOR RESEARCH
DIRECTOR, INTELLECTUAL PROPERTY SERVICES
PRINCIPAL CONTRACT AND GRANT OFFICERS
SENIOR CONTRACT AND GRANT OFFICERS
CONTRACT AND GRANT OFFICERS
SUBAWARD MONITORING COORDINATOR

Re: Redegulation of Authority – Solicit and Accept or Execute of Certain Extramural Grants and Contracts

I hereby redelegate, as set forth below, the authority delegated to Chancellors in Presidential Delegation of Authority DA 2036, March 10, 1995, to solicit and accept or execute certain contracts and grants for extramurally supported research, scholarly or professional training, or public service programs relating either to research or to scholarly or professional training. This redelegation is subject to all conditions and limitations contained in DA 2036.

<table>
<thead>
<tr>
<th>REDELEGATION TO</th>
<th>AUTHORITY TO APPROVE PROPOSALS WITH ANNUAL DIRECT COSTS NOT EXCEEDING</th>
<th>AUTHORITY TO DETERMINE NECESSITY OF LEGAL REVIEW BEFORE ACCEPTANCE OR EXECUTION</th>
<th>AUTHORITY TO ACCEPT OR EXECUTE GRANTS OR CONTRACTS WITH ANNUAL DIRECT COSTS NOT EXCEEDING</th>
</tr>
</thead>
<tbody>
<tr>
<td>Executive Vice Chancellor and Provost</td>
<td>$5,000,000</td>
<td>Yes</td>
<td>$5,000,000</td>
</tr>
<tr>
<td>Vice Chancellor for Research</td>
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<td>Yes</td>
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<td>Assistant Vice Chancellor for Research</td>
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<td>Yes</td>
<td>$5,000,000</td>
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<tr>
<td>Director, Intellectual Property Services</td>
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<td>Yes</td>
<td>$5,000,000</td>
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<tr>
<td>Principal Contract &amp; Grant Officers</td>
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<td>Yes</td>
<td>$3,000,000</td>
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<tr>
<td>Senior Contract &amp; Grant Officers</td>
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<tr>
<td>Contract &amp; Grant Officers</td>
<td>$500,000</td>
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<td>$500,000(^2)</td>
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<tr>
<td>Subrecipient Monitoring Coordinator</td>
<td>$1,000,000</td>
<td>No</td>
<td>$1,000,000(^1)</td>
</tr>
</tbody>
</table>

\(^1\) Authority excludes the acceptance or execution of contracts from industry sponsors (Sponsor Category Code 04.00) except for those industry sponsors participating in the UC Discovery program.

\(^2\) Authority excludes the acceptance or execution of contracts from industry sponsors (Sponsor Category Code 04.00).

Effective Date: November 1, 2006

Supersedes: This redelegation supersedes all previous redelegations of DA2036.
Reference: In carrying out this authority, you are to be guided by the provisions of Standing Order 100.4 (dd), General Counsel’s memo of March 27, 1974 and the University of California Contract and Grant Manual.

Redelegations: This authority may not be redelegated.

Attachment: Presidential Delegation of Authority DA 2036

cc: Senior Vice President, Business and Finance
    Secretary of the Regents
    General Counsel and Vice President for Legal Affairs
    Special Assistant, Coordination & Review
    Vice Chancellor Bolar
    Vice Chancellor Diaz
March 10, 1995

CHANCELLORS

Delegation of Authority—To Solicit and Accept or Execute Certain Extramural Grants and Contracts

The authority granted to the President in Section 100.4(dd) (1) and (2) of the Standing Orders of The Regents was amended on July 16, 1993 to increase the President's execution authority with respect to contracts or grants by removing the seven-year time limit and the dollar limit. The authority granted to the President in Standing Order 100.4(dd) is delegated to you to the extent described below, as it pertains to extramural grants and contracts for research, scholarly or professional training, or for public service programs relating either to research or to scholarly or professional training not exceeding $5,000,000 in direct costs in any one project year. For purposes of this delegation, the term grant includes grants from private sources, but excludes gifts as defined in the Guidelines for Review of Gifts/Grants for Research issued by the President on July 8, 1980.

Within the scope of this delegation, you are authorized to solicit and accept or execute such grants and contracts, including the signing of related documents as necessary, except those grants, contracts, or related documents which:

a. contain provisions falling within the restrictions and limitations set forth in Standing Order 100.4 (dd) however, notwithstanding Standing Order 100.4(dd)(9), you may execute State of California Standard Agreements which include an indemnity clause under which the University assumes liability for the conduct of persons other than University personnel;

b. include an arrangement for indirect costs which changes the rates or the bases thereof as promulgated by the President;

c. will result in the acquisition of computer hardware, software, or systems engineering support requiring prior approval in accordance with Business and Finance Bulletin IS- 8, Guidelines for Campus and Office of the President Acquisitions Involving Computing;

d. establish or modify programs within the Education Abroad Program; or

e. require approval by the President or designee pursuant to specific policy memoranda issued from time to time.

Campus procedures for preparation of proposals for extramural support and acceptance of grants or execution of contracts shall be in accordance with the University of California Contract and Grant Manual and with supplementary instructions as may be issued by the Office of the President.

A critical factor in processing contracts and grants is the review for legal sufficiency. Responsibility for accomplishing that review must be assigned specifically as a part of any redelegation by you. Attached is a copy of a pertinent Office of General Counsel memorandum dated March 27, 1974 which provides guidance on this particular point. Whenever there is any question as to whether a particular grant or

http://www.ucop.edu/ucophome/coordrev/da/da2036.html
contract requires legal review, General Counsel's advice shall be obtained.

This delegation is effective immediately and supersedes the March 29, 1988 delegation of authority (DA 0957) to Chancellors delegating authority to solicit, accept, or execute certain extramural grants and contracts. This authority may be redelegated to specific designees, but may not be further redelegated. Any redelegation shall be in writing with copies to the Senior Vice President--Business and Finance, the Special Assistant--Coordination & Review, the General Counsel and Vice President for Legal Affairs, and the Secretary of The Regents.

J. W. Peltason

Attachment

cc: Laboratory Directors
    Members, President's Cabinet
    Associate Vice President--Business and Finance
    Special Assistant--Coordination & Review
    Principal Officers of The Regents